



I hereby certify that this response is being deposited via First Class Mail addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on August 26, 2002.

PATENT  
Attorney Docket No.: 015280-377100US  
Client Ref. No.: E-286-98/4

Townsend and Townsend and Crew LLP

By:   
Dana Kane

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Douglas E. Brenneman et al.

Application No.: 09/936,888

Filed: September 12, 2001

For: PREVENTION OF FETAL  
ALCOHOL SYNDROME AND  
NEURONAL CELL DEATH WITH  
ADNF POLYPEPTIDES

Examiner: Unassigned

Art Unit: Unassigned

Confirmation No. 2917

RESPONSE TO NOTIFICATION OF  
DEFECTIVE RESPONSE UNDER 35  
U.S.C. 371

Customer Service Center  
Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Defective Response mailed August 2, 2002 and the Communications of July 17, 2002 and August 15, 2002, respectively, applicants herewith submit multiple complete executed Declarations of inventors Douglas E. Brenneman, Catherine Y. Spong, Illana Gozes, Merav Bassan and Rachel Zamostiano.

09/936,888

Brenneman *et al.*

Page 2

A copy of the Notification is attached hereto.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Annette S. Parent". The signature is fluid and cursive, with the first name "Annette" being more prominent than the last name "Parent".

Annette S. Parent

Reg. No. 42,058

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SF 1379420 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,888	Douglas Brenneman	015280-377100US

INTERNATIONAL APPLICATION NO.
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PCT/US00/06364

I.A. FILING DATE	PRIORITY DATE
03/10/2000	03/12/1999

Annette S. Parent  
 Townsend & Townsend & Crew  
 Two Embarcadero Center, 8th Floor  
 San Francisco, CA 94111

CONFIRMATION NO. 2917

371 FORMALITIES LETTER



\*OC000000008546064\*

Date Mailed: 08/02/2002

*Response Due* 9/2/02 53P

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - It's not sufficient to supply only the signature page of declaration

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,888	PCT/US00/06364	015280-377100US

FORM PCT/DO/EO/916 (371 Formalities Notice)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/936,888		Douglas Brenneman	015280-377100US

Annette S. Parent  
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Two Embarcadero Center, 8th Floor  
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**CONFIRMATION NO. 2917**  
**WITHDRAWAL OF ABANDONMENT**  
**NOTICE**



\*OC000000008545575\*

Date Mailed: 08/02/2002

**WITHDRAWAL OF PREVIOUSLY SENT NOTICE**

It has come to the attention of the Office that the Notice mailed on 06/10/2002 was sent in error. Please disregard that Notice. The application is complete and will be processed for examination. The Official Filing Receipt is enclosed. We apologize for any inconvenience this has caused.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

